

part of eex group



Application for Setup/Deletion of Exchange Traders and Trader Assistants

10.08.2023
Leipzig

Doc. A03_E
Ref. 014E

- only required as scan -

1. Application

| Setup | | Function |
|----------|---------------------|---|
| Setup | as soon as possible | Exchange Trader |
| | on: | Shift Trader ¹ (Gas Spot only) |
| Deletion | as soon as possible | Trader Assistant (max. 6 month) as: |
| | on: | Exchange Trader Assistant |
| | | Shift Trader Assistant ¹ (Gas Spot only) |
| Remarks: | | |

Please see EEX Rules and Regulations for descriptions of user types and EEX price list for emerging costs.

The exchange participant hereby acknowledges that in the case of the application of a trader assistant, the above individual will be promoted to full trader status upon the successful completion of the relevant EEX / EUREX exam.

2. General data of the applicant

| Company submitting the application | | | |
|--------------------------------------|---------------|---|--|
| Name of the Company | | Member ID (if known) | |
| | | <input type="checkbox"/> E <input type="checkbox"/> X | |
| Personal information | | | |
| First Name | | Last Name | |
| Ms. Mr. | Date of Birth | IM / Eikon TM ID (optional) | |
| National ID (mandatory) ² | | | |
| E-Mail (mandatory) | | Phone (mandatory) | |

3. Responsible trader (in case of setup of trader assistant)

| Information regarding responsible trader | |
|--|-----------------------------------|
| Name of the responsible trader | User ID of the responsible trader |
| Signature of the responsible trader | |

¹ Shift traders are users who are active exclusively as part of a team in the shift system set up specifically for 24/7 trading on the EEX gas spot market. All shift traders of a trading participant must never be logged in or be active in the trading system at the same time.

² Further details can be found at <http://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:32017R0590&qid=1496311513555&from=DE>, article 6, page 7 and annex II, page 29. The National ID is not mandatory for Gas Spot only Traders. Please note that since 01.01.2021 the United Kingdom is deemed to be in the category "All other countries".

4. Selection of the markets and technical access

| MARKET | TECHNICAL ACCESS |
|--------------------|---|
| Derivatives market | Trayport® Joule® or EEX Joule Direct Screen (GV Portal, Exchange Trading only) |
| | EEX TT Screen (▶ Do you have already an own TT environment? Yes /No) |
| | EUREX T7 GUI |
| | STP (Trade Registration only) |
| Spot market | Trayport® Joule® or EEX Joule Direct Screen (GV Portal, Gas Spot products only tradeable with this technical access) |
| | EEX TT Screen (▶ Do you have already an own TT environment? Yes /No) |
| | EUREX T7 GUI |
| | STP (Trade Registration only) |
| | M7 Auction System (only for EUA Spot Primary Auctions) |

Please be informed that if Derivatives market is selected, the trader will also get access to the respective products of the EEX OTF the company has access to.

If you do not wish the trader to have such access, please tick the following box:

After formal admission the initial product assignments may be amended by the following registered individual(s):

- Authorised signatory/-ies
- Central Coordinator
- Executive Directors of the Management Board
- Security Administrator T7 Admin GUI

Please contact your responsible EEX Key Account Manager in our Sales Department or send your request alternatively via e-mail to membership@eex.com. For Gas Spot products please contact solely membership@eex.com.

5. Signature(s) for the admission

Please send this form as **scan**, the declaration of personal reliability (E04) as **original** and a copy of the ID-Card of the exchange trader or trader assistant to the above mentioned address.

For deletions an email to membership@eex.com is sufficient. Next to executive directors of the management board and authorised signatory/-ies, a request for deletion may also be issued by the central coordinator.

We authorise the Management Board of the European Energy Exchange ("EEX") and the operating company of the Exchange ("EEX AG") that information and data entered into EEX Systems and all data resulting or derived from such entries in EEX Systems may be transmitted by EEX and/or EEX AG to national and foreign public authorities if demanded by them from EEX or EEX AG and if the requesting authority is subject to a statutory confidentiality obligation according to the laws applicable to them.

The undersigned assures that he has fully informed the contact persons named in connection with the contract, the exchange membership relationship or other legal relationships about the transfer of their personal data and that he has brought to their attention the PRIVACY NOTICE which can be found on our company websites.

| Place | Date | Legally binding signature(s) of exchange participant ³ |
|-------|------|---|
| | | <i>Name of Signatory/-ies:</i> |

³ These are the nominated authorised signatories, not typically the trader or trader assistant being set up.