

part of eex group



Application to extend
the admission of
existing Exchange
participants
(only for already
admitted companies)

31.01.2023

Leipzig

Ref. A01a_E

Release 014E

- only required as scan -

Preliminary note

This application form is used to apply for admission as an Exchange participant to European Energy Exchange (hereinafter referred to as "EEX" or "Exchange"). The admission procedure consists of the following steps:

1. Please return the legally binding signed application for admission as an Exchange Participant including relevant documentation to:

E-mail:	membership@eex.com
Phone:	+33 1 70 36 33 42

2. In order to receive admission to the Exchange, the clearing house of EEX, European Commodity Clearing AG ("ECC AG"), must provide recognition that the applicant is an approved trading participant. Required forms can be downloaded on ECC AG website www.ecc.de.
3. The trading of power on the Spot Market takes place at EPEX Spot. Therefore separate admission to EPEX Spot is necessary for trading power on the Spot Market. For information on the admission procedure, please contact EPEX Spot SE directly. For further information and the relevant contact details please see www.epexspot.com.
4. The Management Board of the Exchange shall inform the applicant in writing of its decision in respect of this application to the Exchange.

Application to extend the admission of existing Exchange participants

Name of the Trading Participant	Member ID
	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> E X

This application form is used to apply for a modification to the existing admission as Exchange participant of EEX. A precondition for this application is to have an existing membership for trading at EEX.

We hereby apply for an extension of the existing membership to the following markets and products:

Spot Markets	Derivatives Markets
Emission Rights Primary Auctions <input type="checkbox"/>	Power in EUR <input type="checkbox"/>
Emission Rights Secondary Market <input type="checkbox"/>	Power in GBP <input type="checkbox"/>
Natural Gas <input type="checkbox"/>	Power in JPY <input type="checkbox"/>
Natural Gas* <input type="checkbox"/> <small>* If your traders should not be setup by default on the corresponding Locational (GPL & NCG) or Hourly products (GPL & NCG & TTF) please tick this box</small>	All confirmed trades will be booked into the P1 account by default, if not specified differently as follows: Emission Rights <input type="checkbox"/> Agricultural Products <input type="checkbox"/> Freight <input type="checkbox"/> Natural Gas <input type="checkbox"/> Natural Gas TTF Options <input type="checkbox"/> Liquefied Natural Gas <input type="checkbox"/>

- as soon as possible
- as of the following requested date (dd.mm.yyyy): _____

This application includes all products recognized as trading participant by ECC AG, unless another choice is made above. The admission for trading at the EEX Markets is only granted to registered trading participants of the ECC AG. The necessary documents of ECC AG will be submitted with this application. The admission for trading also includes the authorisation to register transactions for clearing within the trading system, for which Trade Registration functionality is offered.

This application is an addendum to the main application dated _____. The regulations governing the main application also apply to this addendum. Should any information in the main application require updating, it will be done by submitting updated documentation to EEX, as necessary.

Furthermore, we will annex to this application a current excerpt of the commercial register as well as our most recent annual report.

The price lists of the EEX group are applicable.

We authorise the Management Board of the European Energy Exchange ("EEX") and the operating company of the Exchange ("EEX AG") that information and data entered into EEX Systems and all data resulting or derived from such entries in EEX Systems may be transmitted by EEX and/or EEX AG to national and foreign public authorities if demanded by them from EEX or EEX AG and if the requesting authority is subject to a statutory confidentiality obligation according to the laws applicable to them.

We further authorise EEX and EEX AG to exchange information and data regarding our Exchange membership with affiliated companies within EEX group and Deutsche Börse group.

We acknowledge, that EEX and EEX AG also may exchange personal data to public authorities or to affiliated companies within EEX Group or Deutsche Börse group where this is permitted by the applicable law. In order to fulfil the legal duty of ensuring the orderly trading and processing of exchange transactions, EEX will record incoming and outgoing calls for certain telephone connections of the market operations department as separately announced by EEX. We assure that we will inform our employees and other persons that contact EEX market operations on our behalf about this practice, the recorded telephone connections announced to us by EEX and the PRIVACY NOTICE which can be found at <https://www.eex.com/>.

We also assure that we will inform the contact persons named in this form about the PRIVACY NOTICE which can be found at <https://www.eex.com/>.

Place	Date dd.mm.yyyy	Signature(s) of applicant <i>Name of Signatory/-ies:</i>
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