

part of eex group



Application for Admission as Non- Clearing Member

27.10.2020

Leipzig

Ref. NCM01

Release 012

Preliminary remark

With this application form you can apply for admission as a Non-clearing Member (NCM) at European Commodity Clearing AG (ECC) according to the Clearing Conditions of ECC. NCMs are companies without a clearing license, which take part in clearing as clients of a Clearing Member on the markets for which ECC provides clearing services. Further details regarding the legal relationship between the parties are provided in the Clearing Conditions of ECC.

Admission involves the following steps:

1. Please fill in the application form for admission as an NCM.
2. Send the application form for licensing as an NCM in original to:

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| <p>European Commodity Clearing AG Member Readiness Augustusplatz 9 D-04109 Leipzig Germany</p> |
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|---|
| <p>Phone: +49 (0) 341 24680-261 Fax: +49 (0) 341 24680-559</p> |
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3. ECC will review the application and inform the applicant with regard to its decision.

Application

We hereby apply for admission as a Non-Clearing Member according to the Clearing Conditions of ECC. The legal bases of admission as a Non-Clearing Member and participation in clearing are established in:

- This application
- The Clearing Conditions of ECC,
- The NCM agreement
- The price list of ECC.

By signing this application, we confirm that we are familiar with the above mentioned rules and regulations and that we acknowledge and observe them. The most current version of the documents can be downloaded from the ECC website at www.ecc.de.

Applicant

| Name of Company and Company Register | |
|---|---------------------------------|
| Name of the company according to company register | Legal form |
| Company register no. | Place of registration |
| Tax number | Sales tax identification number |
| Address of the applicant | |
| Country | Street and house number |
| Post code | Town |
| Correspondence Address (if different) | |
| Country | Street and house number |
| Post code | Town |

General contact persons

| Mr/ Ms | Surname | First Name | Phone | E-Mail |
|-----------|---------|------------|-------|--------|
| | | | | |
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Invoicing contacts

These contacts will be setup as user for the ECC Member Area and receive in the course of the electronic invoice dispatching invoices and credit notes electronically in form of a download link send via email.

It is mandatory to name at least one E-mail address.

| | Surname | First Name | E-Mail |
|---|-------------|----------------|--------|
| 1 | Invoicing 1 | Contact Report | |
| 2 | Invoicing 2 | Contact Report | |

Emergency procedure and Default contacts

These persons are authorised to issue legally binding statements on behalf of the applicant in cases of emergency. These contacts are required for default management purposes according to the clearing conditions.

It is mandatory to name at least one E-mail address.

| Mr/ Ms | Surname | First Name | Phone | E-Mail |
|-----------|---------|------------|-------|--------|
| | | | | |
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Declarations

1. We assure that all necessary national and/or international authorizations/ licenses/ and permissions have been obtained in order to take part in clearing by ECC, Leipzig/Germany. We furthermore confirm that clearing does not violate any laws, regulations, obligations etc. and that it is allowed under current legislation.
2. After licensing the applicant will receive a separate invoice for fees, which results from the fee structure referred to in the price list in the respectively valid version. The applicant hereby agrees that all fees incurred in accordance with the price list may be collected from the bank account indicated on the debit order.
3. We agree to the publication of our company as an NCM.

DATA PROTECTION DECLARATION

The undersigned assures that he has fully informed the contact persons named in connection with the contract, the exchange membership relationship or other legal relationships about the transfer of their personal data and that he has brought to their attention the DATA PROTECTION DECLARATION which can be found on our company websites.

On behalf of the Non-Clearing Member:

| Place | Date | Company stamp and legally binding signature(s) |
|-------|------|--|
| | | Name, Surname: Personal e-mail address: Privat address of residence: |
| | | Name, Surname: Personal e-mail address: Privat address of residence: |